Docket No.

210029US3 DIV

#### IN THE UNI ED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

09/886,213 SERIAL NO:

FILED:

June 22, 2001

GAU:

1762

EXAMINER: JOLLEY, K.

DATE ALLOWED: March 3, 2005

FOR:

HEAT TREATME HEAT TREATMENT APPARATUS AND TREATMENT SYSTEM

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

### REFERENCES

The Applicants wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **RELATED CASES**

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## **CERTIFICATION**

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

□ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAIER & NEUSTADT, P.C.

Steven P. Weihrouch

Registration No. 32,829

Christopher D. Ward

Registration No. 41,367

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

| Form PTO 1449<br>(Modified)  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |                       |                 | ATTY DOCKET NO.           |   | SERIAL NO.  |   |      |
|--|--|-----------------------|-----------------|---------------------------|---|-------------|---|------|
|  |  |                       | 210029US3DIV    | 09/886,213                |   |             |   |      |
| LIST OF  | 0555   | RENCES CITED TYAPI    | PE              | APPLICANT                 |   |             |   |      |
| LISTOF   | KEFE   | , –                   | STICAN COL      | Yuji MATSUYAMA, et al.    |   |             |   |      |
| MAY 27 2005 5  |  |                       |                 | FILING DATE               |   | GROUP       |   |      |
| MAY L  |  |                       | June 22, 2001   |                           | 1762                                    |             |   |      |
| EXAMINER DOCUMENT TRADETE NAME CLASS SUB FILING DATE   |  |                       |                 |                           |   |             |   |      |
| EXAMINER<br>INITIAL  |  | DOCUMENT 87<br>NUMBER | RADEATE         | NAME                      | CLASS SUB                               |             |   |      |
|  | AA   |                       |                 |                           |   |             |   |      |
|  | AB   |                       |                 |                           |   |             |   |      |
|  | AC   |                       |                 |                           |   |             | - |      |
|  | AD   |                       |                 |                           |   |             |   |      |
|  | ΑE   |                       |                 |                           |   |             |   |      |
|  | AF   |                       |                 |                           |   |             |   |      |
|  | AG   |                       |                 |                           |   |             |   |      |
|  | AH   |                       |                 |                           |   |             |   |      |
|  | Al   |                       |                 |                           |   |             |   |      |
|  | AJ   |                       |                 |                           |   |             |   |      |
|  | AK   |                       |                 |                           |   |             |   |      |
|  | AL   |                       |                 |                           |   |             |   |      |
|  | АМ   |                       |                 |                           |   |             |   | 50 · |
|  | AN   |                       |                 |                           |   |             |   |      |
| FOREIGN PATENT DOCUMENTS   |  |                       |                 |                           |   |             |   |      |
|  |  | DOCUMENT              | DATE            | COUNTRY                   |   | TRANSLATION |   |      |
|  |  | NUMBER                | DATE            | COUNTRY                   |   | YES         |   | NO   |
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|  | AP   | 11-045843             | 02/16/1999      | Japan w/ English abstract |   |             |   | х    |
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|  | AS   | 61-201426             | 09/06/1986      | Japan w/ English abstract |   |             |   | X    |
|  | AT   |                       |                 |                           |   |             |   |      |
|  | AU   |                       |                 |                           |   |             |   |      |
|  | AV   |                       |                 |                           |   |             |   |      |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  |  |                       |                 |                           |   |             |   |      |
|  | AW   |                       |                 |                           |   |             |   |      |
|  | AX   |                       |                 |                           |   |             |   |      |
|  | AY   |                       |                 |                           |   |             |   |      |
|  | AZ   |                       |                 |                           | Additional References sheet(s) attached |             |   |      |
| Examiner   |  |                       | Date Considered |                           |   |             |   |      |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in |  |                       |                 |                           |   |             |   |      |
| conformance and not considered. Include copy of this form with next communication to applicant.  |  |                       |                 |                           |   |             |   |      |